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Docket No. 216270US-6X PCT
ENKEL 8628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: BENGT ROTHMAN ET AL
SERIAL NO: 09/926,612 GAU: 2834
FILED: FEBRUARY 26, 2002 EXAMINER: UNASSIGNED
FOR: METHOD FOR A ROTATING ELECTRIC MACHINE AND A MACHINE FOR CARRYING OUT THE METHOD

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

■ The Applicant(s) wish to make of record the references listed on the attached form PTO-1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which are relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 (copy enclosed) Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. "bulk filing applications", or were uncovered by the present assignee in the course of performing supplemental searches, the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 to the Patent Office consistent with the requirements in the Response to Petition.

□ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

□ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

□ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

□ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (Corrected Listing of Original List)	Docket Number: 216270US-6X PCT	Application Number 09/926,612
	Applicant(s): BENGT ROTHMAN ET AL	
	Filing Date: FEBERUARY 26, 2002	Group Art Unit: 2834

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	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
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	3	CH391071	4/30/65	Switzerland		
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	29	OD030	Permanent Magnet Machines; K. Binns; 1987; pp 9-1 through 9-26
	30	OD031	Hochspannungsaniagen for Wechselstrom; 97. Hochspannungsaufgaben an Generatoren und Motoren; Roth et al; 1938; pp452-455
	31	OD032	Hochspannungsanlagen for Wechselstrom; 97. Hochspannungsaufgaben an Generatoren und Motoren; Roth et al; Spring 1959, pp30-33
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	33	OD034	Advanced Turbine-generators- an assessment; A. Appleton, et al; International Conf. Proceedings, Lg HV Elec. Sys. Paris, FR, Aug-Sept/1976, Vol I, Section 11-02, pg1-9
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	41	OD042	Cloth-transformer with divided windings and tension annealed amorphous wire; T. Yammamoto et al; IEEE Translation Journal on Magnetics in Japan Vol 4, No. 9 Sept. 1989
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Subtotal	**43**		

GRAND TOTAL	**671**		

Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 Issue 2: dated 02/21/00	Docket Number: 216270US-6X PCT	Application Number 09/926,612
	Applicant(s): BENGT ROTHMAN ET AL	
	Filing Date: FEBRUARY 26, 2002	Group Art Unit: 2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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MAY 2 2 2002
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

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	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstiengesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
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	5	WO 98/20602	5/14/1998	Soren Berggren		
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Issue2: dated 02/21/00

			OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)
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Examiner	Date Considered
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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (additional to original listing)	Docket Number: 216270US-6X PCT	Application Number 09/926,612
	Applicant(s): BENGT ROTHMAN ET AL	
	Filing Date: FEBRUARY 26, 2002	Group Art Unit: 2834

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MAY 2 2 2002
PATENT & TRADEMARK OFFICE

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
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	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
	7	US 3,197,723	7/27/65	I.K.Dortort			
	8	US 3,392,779	7/16/68	K.B. Tilbrook			
	9	US 3,411,027	11/12/68	H. Rosenberg			
	10	US 3,541,221	11/17/70	M.Aupoix et al			
	11	US 3,571,690	3/23/71	V V A V Lataisa			
	12	US 3,651,244	3/21/72	D.A. Silver et al			
	13	US 3,660,721	5/2/72	L.L.Baird			
	14	US 3,666,876	5/30/72	E.O.Forster			
	15	US 3,684,906	8/15/72	H.G.Lexz			
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	18	US 3,787,607	1/22/74	H.J.Schlafly			
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	21	US 3,912,957	10/14/75	H.B. Reynolds			
	22	US 3,993,860	11/23/76	J.P.Snow et al			
	23	US 4,008,367	2/15/77	H. Sunderhauf			
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	25	US 4,314,168	2/2/82	O. Breitenbach			
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	28	US 4,365,178	12/21/82	H.G.Lexz			
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	32	US 4,432,029	2/14/84	B. Lundqvist			
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Examiner	Date Considered

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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MAY 2 2 2002
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	47	US 4,859,989	8/22/89	H. McPherson			
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	64	US 5,834,699	11/10/98	A.G.Buck et al			
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Subtotal	**65170**						

Examine r	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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MAY 2 2 2002
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
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	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
	5	DE 19,620,906	1/8/96	Germany		
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	7	DE 3,925,337	2/7/91	Germany		
	8	DE 406,371	11/21/24	Germany		
	9	DE 4,402,184	8/3/95	Germany		
	10	DE 4,438,186	5/2/96	Germany		
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	12	EP 0,102,513	1/22/86	European		
	13	EP 0,185,788	7/2/86	European		
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	15	EP 0,503,817	9/16/92	European		
	16	EP 0,620,630	10/19/94	European		
	17	EP 0,739,087 A2	10/23/96	European		
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	21	EP 0,913,912 A1	5/6/99	European		
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	31	GB 739,962	11/2/55	United Kingdom		
	32	HU 175,494	11/28/81	Hungary		
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	43	PCT SE 91/00077	4/23/91	Int'l Search Report		
	44	WO 91/15755	10/17/91	PCT		
	45	WO 97/29494	8/14/97	PCT		
	46	WO 98/40627	9/17/98	PCT		
	47	WO 98/43336	10/1/98	PCT		
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Examiner	Date Considered

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MAY 2 2 2002
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

	49	PCT/CN 96/00010	10/23/96	Int'l Search Report		
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	51	PCT/SE 98/02148	6/10/99	Int'l Prelim. Examination Report		
Subtotal	**51**					

Examine r	Date Considered

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(Corrected Listing of Original List)

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	49	OD 094	Properties of High Plymer Cement Mortar; M. Tamai et al; *Science & Technology in Japan, No 63* ; 1977, pp 6-14
	50	OD 095	Weatherability of Polymer-Modified Mortars after Ten-Year Outdoor Exposure in Koriyama and Sapporo; Y. Ohama et al; *Science & Technology in Japan No. 63*; 1977, pp 26-31
	51	OD 096	SMC Powders Open New Magnetic Applications; M. Persson (Editor); *SMC Update* ,Vol. 1, No. 1, April 1997
	52	OD 097	Characteristics of a laser triggered spark gap using air, Ar, CH4,H2, He, N2, SF6 and Xe; W.D. Kimura et al; Journal of Applied Physics, Vol. 63, No 6, 15 March 1988, p. 1882-1888
	53	OD 098	Low-intensy laser-triggering of rail-gaps with magnesium-aerosol switching-gases; W. FREY; 11th International Pulse Power Conference, 1997, Baltimore, USA Digest of Technical Papers, p. 322-327

Examine r	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

Subtotal	53		

GRAND TOTAL	169		

Examiner	Date Considered
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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (additional to original listing)	Docket Number: 216270US-6X PCT	Application Number 09/926,612
	Applicant(s): BENGT ROTHMAN ET AL	
	Filing Date: FEBRUARY 26, 2002	Group Art Unit: 2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	1	WO 9745908	12/4/97	PCT		
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Examiner	Date Considered
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

			OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)
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Examiner	Date Considered

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